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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gerald R. Malan, et al.

Group Art Unit: 2137

Examiner: Shewaye Gelagay

Serial No.: 09/855,818

Filed: May 15, 2001

For: METHOD AND SYSTEM FOR PROTECTING PUBLICLY  
ACCESSIBLE NETWORK COMPUTER SERVICES FROM  
UNDESIRABLE NETWORK TRAFFIC IN REAL-TIME

Attorney Docket No.: UOM 0206 PUSP

**INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER 37 C.F.R. § 1.97(c) AND (e)(2)**

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Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., after the mailing date of a first Office Action on the merits but before the mailing of a final action or a notice of allowance.

No item of information contained in this Statement was cited in a communication

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)**

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December 5, 2005  
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David R. Syrowik  
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from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement.

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Gerald R. Malan, et al.

By: 

David R. Syrowik

Reg. No. 27,956

Attorney/Agent for Applicant

Date: December 5, 2005

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Substitute for Form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/855,818
				Filing Date	May 15, 2001
				First Named Inventor	Gerald R. Malan, et al.
				Group Art Unit	2137
				Examiner Name	Shewaye Gelagay
Sheet	1	of	1	Attorney Docket Number	UOM 0206 PUSP

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.